

# ANNUAL REPORT

July 1, 2013 - June 30, 2014

The Cecil G. Sheps Center for Health Services Research University of North Carolina at Chapel Hill

# **APPENDICES**

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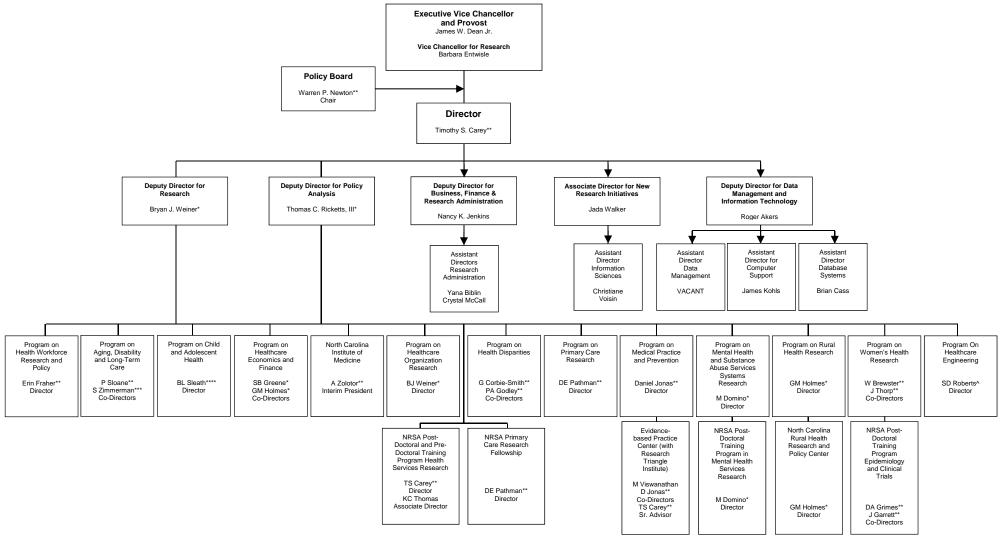
Appendix E: Publications

# APPENDIX A

# **Organizational Chart**

Cecil G. Sheps Center for Health Services Research University of North Carolina at Chapel Hill

### Organizational Chart of the Cecil G. Sheps Center for Health Services Research The University of North Carolina at Chapel Hill



<sup>\*</sup> Gillings School of Global public Health Faculty Appointment, UNC-CH

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<sup>\*\*</sup> School of Medicine Faculty Appointment, UNC-CH
\*\*\* School of Social Work Faculty Appointment, UNC-CH

<sup>\*\*\*\*</sup> School of Pharmacy Faculty Appointment, UNC-CH

Department of Industrial and Systems Engineering, NC State

#### APPENDIX B

# POLICY BOARD MEMBERS

# The Cecil G. Sheps Center for Health Services Research 2013-2014

The Cecil G. Sheps Center for Health Services Research is an organizational unit of the University's Division of Health Affairs and, as such, the Center's Director is responsible to the Vice Chancellor for Health Affairs. Oversight responsibility for the Center is vested in a Policy Board whose members include senior faculty and administrators from the five health science schools, as well as representatives of the health services community at large.

Thomas Bacon, PhD Adjunct Professor Dept of Social Medicine School of Medicine

Tim Carey, MD, MPH Professor of Medicine Department of Medicine

Director, Sheps Center for Health Services Research

Jo Ann Earp, ScD

Professor

Department of Health Behavior and Health Education

School of Public Health

Ronald J. Falk, MD

Professor and Division Chief

Division of Nephrology and Hypertension

School of Medicine

Sandra B. Greene, PhD Professor and Chair

Department of Health Policy and Management Gillings School of Global Public Health

Michael R. Kosorok, PhD, MM

Professor and Chair Department of Biostatistics School of Public Health

Jessica Y. Lee, DDS., MPH, PhD

Associate Professor School of Dentistry

Barbara Mark, RN, PhD

Professor

School of Nursing

Warren P. Newton, MD, MPH (Chair)

Professor and Chair

Department of Family Medicine

School of Medicine

Andrew F. Olshan, PhD Professor and Chair

Department of Epidemiology

School of Public Health

Herbert B. Peterson, MD

Professor and Chair

Department of Maternal and Child Health

School of Public Health

David Rubinow, MD Professor and Chair

Psychiatry

School of Medicine

Marschall S. Runge, MD, PhD

Professor and Chair Department of Medicine School of Medicine

Betsy Sleath, PhD Professor and Chair

Division of Pharmaceutical Policy

School of Pharmacy

Alan D. Stiles, MD

Professor

Department of Pediatrics School of Medicine

Hugh H. Tilson, MD, DrPH

Adjunct Professor

Public Health Leadership Program

Sheps Center:

Roger Akers, Deputy Director, Data Mgt and Info Nancy Jenkins, Deputy Director, Bus and Finance Tom Ricketts, Deputy Director, Policy Analysis Jada Walker, Associate Director, Operations Bryan Weiner, Deputy Director, Research

#### APPENDIX C

# CONTRACT AND GRANT PROPOSAL SUCCESS

Tables 1.1 - 1.3 summarize the status of proposals submitted and new proposals, supplements/addenda, and resubmissions approved for funding for the 2013-14 fiscal year.

This fiscal year (2013-14), the majority of the proposals were initial submissions of new proposals (68), while 22 were requests for continuation funding for projects already funded, 4 was a request for supplemental funds, and 6 were resubmission of a proposal.

Table 1.1 SUMMARY OF PROPOSAL SUBMISSION AND SUCCESS July 1, 2013 - June 30, 2014

(Results as of October 31, 2014)

Status	Initial Submissions	Supplements Addenda	Continuation Applications	Resub- missions	TOTAL
Total Proposals Submitted	68	4	22	6	100
Funding Notification Received:	56	4	21	4	85
Funded	31	3	21	1	56
Not Funded	25	1	0	3	29
No Decision	6	0	1	1	8
Proposals Withdrawn	6	0	0	1	7

<sup>\*</sup> Verbal notification of funding received, pending official notification.

Table 1.2 SUMMARY OF FUNDING FOR NEW PROPOSALS, SUPPLEMENTS/ADDENDA, AND RESUBMISSIONS APPROVED FOR FUNDING

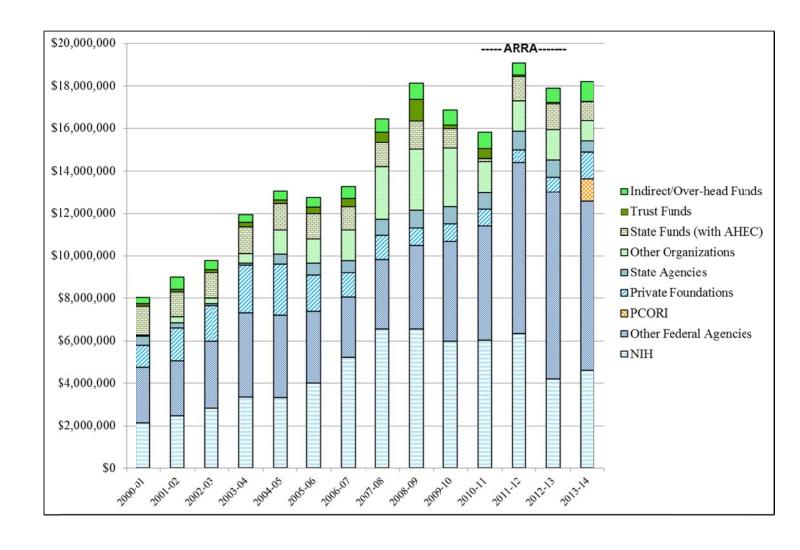
Submitted July 1, 2013 - June 30, 2014 or Submitted in Previous Years

(Results as of October 31, 2014)

Status	Number	Percent Funded
Submitted for Funding:		
Submitted This Year	100	
Submitted Previous Years	48	
Total	148	
Funded (including verbal notificatio Submitted This Year	n) of proposals with funding 56	g decision: 56 %
Submitted Previous Years	33	69 %
Total	89	60 %

Figure 1.1

SOURCES AND AMOUNTS OF SUPPORT BY FUNDING SOURCE AND YEAR
(Excluding Indirect Dollars Not Coming To the Sheps Center and NC•IOM Dollars Not Coming Through the Sheps Center)
2000-2014



# **Table 1.3**

# UPDATE ON NEW FUNDING FY 13-14

# July 1, 2013 – June 30, 2014 Funding status reported for submissions (Results as of October 31, 2014)

(number of proposals)	Year One Award	Total Award
Funded (66)	\$16,034,980	\$18,606,412
Still Outstanding (8)	\$1,359,795	\$ 4,041,181

#### APPENDIX D

## SEMINARS/WORKSHOPS

All seminars/workshops are open to the public and were planned and sponsored or co-sponsored through the Sheps Center during this past fiscal year. Unless otherwise noted, all seminars took place at the Sheps Center. In addition, the Center frequently hosts state and national meetings on research and policy issues. The following is a description of the seminars:

# AGENCY FOR HEALTHCARE RESEARCH AND QUALITY (AHRQ)/ NATIONAL RESEARCH SERVICE AWARD (NRSA) SEMINARS

# Fall 2013 (Talking with Stakeholders about Research)

Sampling stakeholders: How to find them and get the right mix Katrina Donahue, M.D. (UNC-CH) Laura Young, M.D. (UNC-CH)

How to talk with providers about implementation research Sam Cykert, M.D. (UNC-CH)

How to talk with policymakers about research findings Erin Fraher, M.D. (UNC-CH)

Introduction to the ICD-10: how much should we be afraid? Sara Freeman (RTI)

Engaging stakeholders in common spinal disorders research Michele Crites Battie, Ph.D. (UNC-CH)

Community engagement in medical decision-making Carol Golin, M.D. (UNC-CH)

How to talk with stakeholders to inform Medicaid's formulary decisions Dan Jonas, M.D. (UNC-CH)

#### **Spring 2014 (Career Development)**

The money river and budgeting Tim Carey, M.D., M.P.H. (UNC-CH)

Career development awards Morris Weinberger, Ph.D. (UNC-CH)

Pilot funding
Tim Carey, M.D., M.P.H. (UNC-CH)

Careers in CER and PCOR
Rachael Fleurence, Ph.D. (PCORI)

Budgeting
Tim Carey, M.D., M.P.H. (UNC-CH)

Working in healthcare systems
Darren DeWalt, M.D., M.P.H. (UNC-CH)

# UNC-CH/DUKE PROGAM IN MENTAL HEALTH SERVICES & SYSTEMS RESEARCH SEMINAR SERIES

The location of these seminars alternates between the Cecil G. Sheps Center for Health Services Research, University of North Carolina at Chapel Hill and the Department of Psychiatry and Behavioral Sciences, Duke University.

Fall 2013

Health Policy and Politics in North Carolina

Adam Searing, J.D., M.P.H. (Health Access Coalition for the North Carolina Justice Center)

Enhanced Services for Frequent Flyers in Mental Health Diversion Sarah Desmarais, Ph.D. (North Carolina State University)

Psychiatric Disorders and Health Outcomes in People Living with HIV: From Chapel Hill to Cameroon Brian Pence, Ph.D. (UNC-CH)

*The Science of Recovery* 

Lisa Dixon, M.D., M.P.H. (Columbia University, New York State Psychiatric Institute)

Do Preferences of Drinker-Drivers Differ?

Frank Sloan, Ph.D. (Duke University)

WakeBrook: A Behavioral Health Partnership Between UNC Health Care And Wake County

Brian Sheitman, M.D. (UNC WakeBrook Project)

Spring 2014

Translating Research on Vulnerability and Protective Factors to Inform Mental Health Services for Children Heather Pane, Ph.D. (Duke University)

Mental Health Services Research: Report on Three Projects

Michele Easter, Ph.D. (Duke University)

The Use of Medical Homes by Patients with Complex Mental Health and Medical Comorbidities Marisa Elena Domino, Ph.D. (UNC-CH)

Improving Health and Healthcare in People with Serious Mental Illnesses

Benjamin G. Druss, M.D., M.P.H. (Emory University)

How Portrayals of Mental Illness and Addiction Treatment as Effective Influence Stigma and Public Policy Support: Results from A Randomized Experiment

Colleen L. Barry, Ph.D., M.P.P. (Johns Hopkins)

Public Health Perspectives on Gun Violence and Mental Illness: Balancing Risk, Rights, Rhetoric, and Research in Federal and State Policy Solutions

Jeffrey Swanson, Ph.D. (Duke University)

North Carolina's path to Mental Health 'Reform'

Marvin Swartz, M.D. (Duke University)

Scaling up Evidence-based Practices for Children and Families in State Systems

Kimberly Hoagwood, Ph.D. (New York University)

A Behavioral Economic Approach to the Treatment Gap in Mental Health Services

Daniel Eisenberg, Ph.D. (University of Michigan)

The Effects of Expedited Medicaid Enrollment on the Risk of Mortality for Released Inmates with SMI: Evidence from a Quasi-Experiment in Washington State

Jennifer Jolley, Ph.D. (Cecil G. Sheps Center for Health Services Research)

## DANUBE UNIVERSITY AT KREMS-RTI INTERNATIONAL-UNC AT CHAPEL HILL METHODS (DUK-RTI-UNC) SEMINAR SERIES FOR SYSTEMATIC REVIEWS AND META-ANALYSES

#### **Fall 2013**

Stopping randomized trials early for benefit

Anna Glechner (Duke University)

Barriers and facilitators in the implementation of interventions to prevent publication bias Barbara Nußbaumer (Duke University)

Using Intermediate and Health Outcomes in Establishing the Effectiveness of Clinical Preventive Services Daniel E. Jonas (UNC-CH)

#### Spring 2014

Framing and Analyzing Complex Multicomponent Interventions for Systematic Reviews Meera Viswanathan (RTI)

Abstrack R

Byron Wallace (Brown University)

**EQUATOR** Network

Iveta Simera (Equator Network)

New EPC Guidance for Strength of Evidence Nancy Berkman (RTI)

Advantages and Disadvantages of Subgroup Analyses in Systematic Reviews Peter Mahlknecht (Duke University)

#### TRIANGLE HEALTH ECONOMICS WORKSHOP SERIES

Attendance is open to all interested persons. Regular attendees included faculty and doctoral students from UNC academic departments (Health Policy and Management, Economics, Public Policy, and Pharmaceutical Policy and Evaluative Sciences), Sheps Center fellows, faculty and students from Duke University departments (Economics, Public Policy, the Business School, and the Center for Health Policy and Law), Research Triangle Institute researchers, and, on occasion, faculty from North Carolina State University, East Carolina University, the University of North Carolina at Greensboro.

#### **Fall 2013**

Discussion of The Oregon Experiment: Effects of Medicaid on Clinical Outcomes Katherine Baicker (Harvard School of Public Health)

Review of Rational Addiction Models

Brett Matsumoto (UNC-CH)

Peer Effects: discussion of 'Estimating the Effects of Friendship Networks on Health Behaviors of Adolescents' Forrest Spence (UNC-CH)

Insurer Competition and Negotiated Hospital Prices Robin Lee (New York University)

Why Medical Innovation is Valuable: Health, Human Capital and the Labor Market Nick Papageorge (Johns Hopkins)

Demand for Health: An Empirical Model of Health Production in China Riha Vaidya (UNC-CH)

Health Insurance for "Humans": Information Frictions, Plan Choice, and Consumer Welfare Jonathan Kolstad (Wharton)

The Effects of Maternal Employment and Non-Parental Child Care on Early Childhood Development Didem Pekkurnaz (UNC-CH)

#### Spring 2014

The Impact of Education on Family Formation: Quasi-Experimental Evidence from the UK (with Damon Clark and Michael Geruso)

Heather Royer (Applied Microeconomics, UCSB)

The Costs and Benefits of Expanding Medicaid: Evidence from the Oregon Health Insurance Experiment Katherine Baicker (Harvard School of Public Health)

How do patents affect follow-on innovation? Evidence from the assignment of patent applications to examiners Heidi Williams (MIT)

In addition, the Center frequently hosts state and national meetings on research and policy issues.

### APPENDIX E

# **PUBLICATIONS**

This past year affiliated research staff working on Center-related projects published 246 articles, book chapters, or other reports. This included 204 articles in peer-reviewed journals, 42 other publications, including annual reports, briefs, data books, evidence reports, fact sheets, reviews, and working papers.

#### **Peer-reviewed journals:**

- Aguilar-Gaxiola S, Ahmed S, Franco Z, Kissack A, Gabriel D, Hurd T, Ziegahn L, Bates NJ, Calhoun K, Carter-Edwards L, Corbie-Smith G, Eder MM, Ferrans C, Hacker K, Rumala BB, Strelnick AH, Wallerstein N. Towards a unified taxonomy of health indicators: academic health centers and communities working together to improve population health. Acad Med. 2014 Apr;89(4):564-72. doi: 10.1097/ACM.0000000000000198.
- Albritton T, Hodge-Sallah S, Akers A, Blumenthal C, O'Brien S, Council B, Muhammad M, Corbie-Smith G. A Process Evaluation of an HIV/STI Intervention for Rural African American Youth. Qual Health Res. 2014 Jun 17;24(7):969-982 PMID: 25364312 [PubMed]
- Allicock M, Carr C, Orr M, Kahwati LC, Weiner BJ, Kinsinger L. Training veterans to provide peer support in MOVE!: a weight management program. Prev Chronic Dis. 2013 Nov 7;10:E185.
- Ammerman AS, Keyserling TC. Designing and Implementing a Comparative Effectiveness Study of Two Strategies for Delivering High Quality CHD Prevention: Methods and Participant Characteristics for the Heart to Health Study. Contemporary Clinical Trials. 36:394-405, 2013. doi: 10.1016/j.cct.2013.07.013.
- Bailey SC, Belter L, Pandit AU, Carpenter DM, Wolf MS. (2014) The availability, functionality, and quality of mobile applications supporting medication self-management. *Journal of the American Medical Informatics Association*, 21(2): 542-546.
- Beadles, CA, Hassmiller Lich, K, Viera, AJ, Greene, SB, Brookhart, MA, Weinberger, M. A non-experimental study of oral anticoagulation therapy initiation before and after national patient safety goals. <u>British Medical Journal</u>. Vol. 4;2. Feb 12 2014.
- Beadles, CA, Hassmiller Lich, K, Viera, AJ, Greene, SB, Brookhart, MA, Weinberger, M. Patient-Centered Medical Homes and Oral Anticoagulation Therapy Initiation. <u>Medical Care Research Review.</u> Vol. 71:2, pp.174-91. April 2014. Epub 2013 Nov 19.
- Beaubrun AC, Kilpatrick RD, Freburger JK, Bradbury BD, Brookhart MA. Temporal trends in fracture rates and postdischarge outcomes among hemodialysis patients. <u>J Am Soc Nephrol</u> 2013; 24(9):1461-9.
- Beeber AS, Cohen LW, Zimmerman S, Gwyther LP, Washington T, Cagle JC, & Reed D. (2013). Differences in assisted living staff perceptions, experiences, and attitudes. *Journal of Gerontological Nursing* 40(1), 41-49.
- Beeber AS, Zimmerman S, Reed D, Mitchell CM, Sloane PD, Harris-Wallace B, Perez R, Schumacher JG. Licensed nurse staffing and health service availability in residential care and assisted living. J Am Geriatr Soc. 2014 May;62(5):805-11. doi: 10.1111/jgs.12786. Epub 2014 Apr 18. PubMed PMID: 24749761; PubMed Central PMCID: PMC4024069.
- Beil H, RG Rozier, J Preisser, SC Stearns, and JY Lee. "Effects of Early Dental Office Visits on Dental Caries Experience." Posted online October 2013, *American Journal of Public Health* http://ajph.aphapublications.org/doi/pdf/10.2105/AJPH.2013.301325.
- Bengtson, LGS, A Kucharska-Newton, LM Wruck, LR Loehr, AR Folsom, LY Chen, WD Rosamond, S Duval, PL Lutsey, SC Stearns, C Sueta, HC Yeh, E Fox, A Alonso. "Comparable Ascertainment of Newly-Diagnosed Atrial Fibrillation Using Active Cohort Follow-Up versus Surveillance of Centers for Medicare and Medicaid Services in the Atherosclerosis Risk in Communities Study" *PLOS One* (www.plosone.org), 9(4):e94321, April 2014.
- Biese K, M LaMantia, F Shofer, B McCall, E Roberts, SC Stearns, S Principe, JS Kizer, CB Cairns, and J Busby-Whitehead, "A Randomized Trial Exploring the Effect of Telephone Call Follow-up on Care Plan Compliance Among Older Adults Discharged Home from the Emergency Department." *Academic Emergency Medicine*. 21(2):188-195, February 2014.

- Birken SA, Deal AM, Mayer DK, Weiner BJ. Determinants of survivorship care plan use in United States cancer programs. J Cancer Educ 2014 Apr 6 [epub ahead of print]
- Birken SA, Deal AM, Mayer DK, Weiner BJ. Following through: the consistency of survivorship care plan use in United States cancer programs. J Cancer Educ. 2014 Feb 28 [epub ahead of print].
- Birken SA, Lee SY, Weiner BJ, Chin MH, Chiu M, Schaefer CT. From strategy to action: how top managers' support increases middle managers' commitment to innovation implementation in health care organizations. Health Care Manage Rev. 2014 Feb 21. [epub ahead of print].
- Black KZ, Hardy CY, De Marco M, Ammerman AS, Corbie-Smith G, Council B, Ellis D, Eng E, Harris B, Jackson M, Jean-Baptiste J, Kearney W, Legerton M, Parker D, Wynn M, Lightfoot A. Beyond incentives for involvement to compensation for consultants: increasing equity in CBPR approaches. Prog Community Health Partnersh. 2013 Fall;7(3):263-70. doi: 10.1353/cpr.2013.0040.
- Blakeney N, Michaels M, Green M, Richmond A, Long D, Robinson WS, Spicer C, Elliott-Bynum S, Corbie-Smith G. Collaborative Development of Clinical Trials Education Programs for African-American Community-Based Organizations. J Cancer Educ. 2014 Jun 8. PMID: 24906502 [PubMed as supplied by publisher]
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- Bowers B, Cohen LW, Elliot A, Grabowski DC, Fishman NW, Sharkey SS, Zimmerman S, Horn SD, & Kemper P. (2013). Creating and supporting a mixed methods health services research team. *Health Services Research Journal*, 48(6), 2157-2180.
- Brenner AT, Howard K, Lewis C, Sheridan S, Crutchfield T, Hawley S, Reuland D, Kistler C, Pignone M. Comparing 3 Values Clarification methods for Colorectal Cancer Screening Decision-Making: A Randomized Trial in the US and Australia. Journal of General Internal Medicine. 2014 Mar; 29(3):507-13. doi: 10.1007/s11606-013-2701-0. PMID: 24272830
- Bunger A, Chuang E, Collins-Camargo C, Pérez Jolles M, McBeath B, Wells R. (2014). Collaboration, Competition and Coopetition: Inter-organizational Dynamics Between Private Child Welfare Agencies and Child Serving Sectors. *Children and Youth Service Review*, 38(0), 113-122.
- Cagle JG, Zimmerman S, Cohen L, Porter L, Hanson LC, Reed DE. (2014). EMPOWER: an intervention to address barriers to pain management in hospice. J Pain Symptom Manage, [Epub ahead of print].
- Carey TS & Freburger J. (2014). Physical therapy for low back pain: What is it, and when do we offer it to patients? *Annals of Family Medicine*, 12(2), 99-101.
- Carey TS, Crotty KA, Morrissey JP, Jonas DE, Thaker S, Ellis AR,...Viswanathan M. (2013). Future research needs for evaluating the integration of mental health and substance abuse treatment with primary care. *Journal of Psychiatric Practice*, 19(5), 345-359.
- Carpenter DM, Ayala GX, Williams D, Yeatts K, Davis S, Sleath BL. (2013) The relationship between patient-provider communication and quality of life for children with asthma and their caregivers. *Journal of Asthma*, 50(7): 791-8.
- Carpenter DM, Elstad EA, Blalock S, & DeVellis RF. (2014) Conflicting medication information: Prevalence, sources and relationship to medication adherence. *Journal of Health Communication*, 19(1): 67-81.
- Carpenter DM, Stover A, Slota C, Ayala GX, Yeatts K, Tudor G, Davis S, Williams D, Sleath BL. (2014) An evaluation of physicians' engagement of children with asthma in treatment-related discussions. *Journal of Child Health Care*, 18(3): 261-274.
- Cené CW, Haymore LB, Ellis D, Whitaker S, Henderson S, Lin FC, Corbie-Smith G. Implementation of the power to prevent diabetes prevention educational curriculum into rural African American communities: a feasibility study. Diabetes Educ. 2013 Nov-Dec;39(6):776-85. doi: 10.1177/0145721713507114. Epub 2013 Oct 15.

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- Chung AE, Perrin EM, Skinner AC. "Accuracy of Child and Adolescent Weight Perceptions and Their Relationships to Dieting and Exercise Behaviors: NHANES." *Acad Pediatr.* 2013 Jul Aug;13(4):371-8. doi: 10.1016/j.acap.2013.04.011. Accepted 4/20/2013.
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- Cuddeback GS, Shattell MM, Bartlett R, Yoselle J, & Brown D. (2013). Consumers' perceptions of transitions from assertive community treatment to less intensive services. *Journal of Psychosocial Nursing and Mental Health Services*, 51(8), 39-45.
- Cuddeback GS, Wright D, & Bisig NG. (2013). Characteristics of participants in jail diversion and prison reentry programs: Implications for forensic ACT. *Psychiatric Services*, *64*(10), 1043-1046.
- Cykert S. (2014). A New Paradigm: Approaching Racism as a Method of Disease Prevention. Chest 145 (3):442-443.
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- Domino ME, & Beadles CA. (2014). State investments in psychiatric innovation: Investigating unmeasured state factors. *Health Services and Outcomes Research Methodology*, *14*(1-2), 34-53.
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- Education of Health Professionals. N C Med J. January/February 2014; 75(1):1-88.

- Edwards M, Quinlivan EB, Bess K, Gaynes BN, Heine A, Zinski A, Modi R, Pence BW. (2014). Implementation of PHQ-9 depression screening for HIV-infected patients in a real-world setting. *Journal of the Association of Nurses in AIDS Care*, 25(3), 243-252.
- Einterz SF, Gilliam R, Lin FC, McBride JM, Hanson LC. (2014). Development and testing of a decision aid on goals of care for advanced dementia. JAMDA, 15(4), 251-255.
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- Elstad EA, Sheridan SL, Lee JG, et al. Have screening harms become newsworthy? News coverage of prostate and colorectal cancer screening since the 2008 USPSTF recommendation changes. J Behav Med 2014(e-published). PMID: 24859436.
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